

Premier Portfolio Management Service

Corporate application form

This application form should be used by companies who wish to open a Premier Portfolio Management Service account. A separate application form for Trusts can be found on our website premiermiton.com.

This form should only be used for direct applications to invest in the Premier Portfolio Management Service. This form should not be used for investments into the Premier Miton funds, Premier Miton's Irish-domiciled funds or for investments into the Managed Portfolio Service.

Please complete this form in ink using BLOCK CAPITALS and return to: **Premier Fund Managers Limited, Eastgate Court, High Street, Guildford, GU1 3DE**. Alternatively your adviser can email your signed application form to investorservices@premiermiton.com. Any applications that are not completed correctly may incur delays or have to be returned.

Premier Fund Managers Limited (registered no.02274227) is authorised and regulated by the Financial Conduct Authority.

Please do not make any payments to us on behalf of this company until you have received confirmation that the account is open. The confirmation letter will confirm the correct bank details and payment reference to use. We aim to process all corporate applications within three working days of receipt.

Important:

Please read the **Premier Portfolio Management Service Investor Guide** for details of our relevant discretionary portfolios.

Copies are available on our website premiermiton.com, by calling 0333 456 1122 or emailing investorservices@premiermiton.com

All fields are mandatory unless stated otherwise

1. Company details

Company name: _____

Type of company e.g. Charity, LLP, listed company: _____

Company registration number: _____

Charity number (If applicable): _____

Regulatory number (If applicable): _____

Account name (If different to the registered company name): _____

Registered company address: _____

Date of Incorporation:

Place of incorporation: _____

Correspondence address (all confirmations will be sent to this address): _____

Town / City: _____ Post code: _____

Email: _____

(This should be the e-mail for any queries or operational-based issues. If you wish to share a legal or compliance e-mail also, please do so)

Tel no: _____

Contact name (For operational queries): _____

Account designation (if applicable). Each account must only have ONE designation: _____

Online Valuation Service

Confirm the e-mail you would like to use to access the online valuation service (if different to the e-mail above):

2. Details of Controllers

'Controllers' include all current directors, officers and/or persons with significant control. If there are more controllers than space below allows then please enclose additional pages with their details. Significant control includes beneficial owners owning or controlling more than 25% of the Company's shares or voting rights (directly or indirectly) or those who otherwise exercise control over the management of the Company.

First Controller

Title: _____

First name: _____

Middle name(s): _____

Surname: _____

Permanent residential address:

Postcode: _____

Date of birth: _____

Country of Citizenship/Country of Residence/First Passport
(if different to place of birth):

National Insurance or other tax reference:

Email: _____

Occupation: _____

Person of significant control role (e.g. Director):

Second Controller

Title: _____

First name: _____

Middle name(s): _____

Surname: _____

Permanent residential address:

Postcode: _____

Date of birth: _____

Country of Citizenship/Country of Residence/First Passport
(if different to place of birth):

National Insurance or other tax reference:

Email: _____

Occupation: _____

Person of significant control role (e.g. Director):

Third Controller

Title: _____

First name: _____

Middle name(s): _____

Surname: _____

Permanent residential address:

Postcode: _____

Date of birth: _____

Country of Citizenship/Country of Residence/First Passport
(if different to place of birth):

National Insurance or other tax reference:

Email: _____

Occupation: _____

Person of significant control role (e.g. Director):

Legal entity (to be completed for each controller that is not an individual)

Name of registered company: _____

Registration number: _____

Registered office: _____

Postcode: _____

Place of incorporation: _____

Place & Country of registration: _____

Tax reference (compulsory for all applicants) /PSO/Registered
Charity number/Company number:

3. Contribution details

3a. Contribution type

☐ Single payment
 ☐ Regular payment
 ☐ Transfer in

3b. Single payment only

If applicable, record details of your single contribution. Please confirm your portfolio choice in the table in section 4

Payment method: ☐ Cheque ☐ Direct Credit

Investment value: £ _____

Origin of funds for this investment: _____

3c. Regular payments only

If applicable, record details of your regular contribution. Please confirm your portfolio choice in the table in section 4

Investment value: £ _____ ☐ Monthly ☐ Quarterly ☐ Half yearly ☐ Annually

Origin of funds for this investment: _____

Payment reference should be the client account number (otherwise payments may be delayed or returned).

3d. Transfer-in from another provider

Provider name: _____ Account / plan number: _____

Net subscription this tax year: £ _____

Transfer of cash ☐ Cash ☐ Assets

Full or partial transfer? ☐ Full ☐ Partial

If this is a cash transfer, please confirm the current/estimated value: £ _____

For a cash transfer, please complete your discretionary model choice on the table in section 4.

4. Investment details

Please complete the table below with your investment option(s). Please ensure you provide the full discretionary model names.

Discretionary model (Example: Premier Balanced portfolio)	Single payment amount (£)	Regular savings amount (£)
	£	£

5. Payment instructions

Bank account details will be provided once the new account has been opened. We aim to process all Corporate applications within 3 working days of receipt.

Payment Reference should be the client account number (otherwise payments may be delayed or returned).

6. Financial adviser details (to be completed by Financial adviser)

I have verified the identity of the Applicant, including controllers, in accordance with the applicable Money Laundering Regulations and the necessary documentary evidence has been obtained and identity checks have been undertaken to confirm that the Controlling Person(s)' details as shown in this application are correct.

I have read the Terms of Business for authorised intermediaries (available on the Premier Miton website)

(Reference 10457/310723) Yes ☐ No ☐

Financial adviser signature: _____

Name: _____

Position: _____ Date:

Full name of regulated firm: _____

Address: _____

Postcode: _____

Email: _____

FCA reference number: _____ Telephone number: _____

7. Payments to the financial adviser (if applicable)

Financial adviser initial payment _____ % or £ _____ Tick if subject to VAT: Yes ☐ No ☐
(for single/cash transfer payments)

Financial adviser ongoing payment _____ Tick if subject to VAT: Yes ☐ No ☐

Nil ☐ 0.25% pa ☐ 0.5% pa ☐ 0.75%pa ☐ 1.0%pa ☐

If yes has been selected, VAT will be added to the fee. Once the application has been processed, we will be unable to alter the VAT status indicated above.

Please note:

By completing the above you instruct us to deduct any initial payment from your investment with the balance invested into your portfolio and all other ongoing payments directly from your portfolio. We are not responsible for accounting for any VAT on these payments.

8. Income and regular withdrawal options

Your portfolio may generate income from time to time. Please indicate in section (a) how you wish any such income to be dealt with. You may also wish to make a regular withdrawal from your portfolio. If so, select the relevant option in section (b). In each case, payments will be made on or around the 20th of the month, 20th of January, April, July, October if quarterly.

(a) Income

Please indicate how you wish us to treat any natural income generated from your portfolio.

Income distributed ☐ Monthly ☐ Quarterly

Income reinvested ☐ Monthly ☐ Quarterly

Please note: If you are invested in a growth portfolio, income may not be generated. Minimum payouts £125 per month or £375 per quarter.

(b) Fixed regular withdrawal

If you wish to receive a fixed regular withdrawal from your portfolio, please indicate the amount required below:

I wish to receive a fixed withdrawal of £ _____ Monthly ☐ Quarterly ☐ Minimum £125 per month and £375 per quarter

Commencing

Please note: fixed regular withdrawals are generated by selling fund shares held within the Model portfolio(s). Taking regular withdrawals can increase the risk of erosion of your investment if the amount you receive exceeds the natural income or growth generated by your holdings.

9. Bank/Building Society details for payments to you

Please complete the account details below for the company in order that we can make any income payments or redemption payments to the designated bank or building society. Please note that if we do not receive these bank account details the application may be rejected.

Please inform us in writing if you change your bank account, as you will need to provide new evidence so we can verify the account.

Bank/Building Society: _____

Address: _____

Postcode: _____

Name of account to be credited: _____

Sort code: - - Account number:

Building Society reference or roll number:

For the prevention of fraud and for anti-money laundering purposes, we need to verify the details provided. Please provide one of the following information:

- An original or copy voided cheque
- An original or copy paying in slip (usually found at the back of your cheque book)
- Either an original or copy of a bank statement, not older than six months.
- Internet based bank statements are not acceptable

Anti-Money Laundering/ Customer Due Diligence

Under UK Anti-Money Laundering legislation and related regulations, Premier Fund Managers Limited is required to verify the identity of its clients. You may be required to provide certain documentation to Premier Fund Managers Limited in order for us to comply with those verification obligations. Premier Fund Managers Limited will request this documentation if the client fails any electronic checks.

Please provide anti-money laundering documents for the company in accordance with our anti-money laundering requirements. Please see the Premier Portfolio Management Service Anti-Money Laundering Guide, which is published on our website.

Source of Funds (if different to the bank details in section 9): _____

Source of Wealth: _____

Tax residency self-certification

Tax regulations require us to collect certain information about each investor's tax residency and tax classifications. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information about your account with HMRC. Please complete all relevant sections as directed. If you have any questions about the information requested please consult your tax adviser.

Please complete a Self-certification of tax residency for Entities form, available on our website premiermiton.com

Declaration

- I confirm that I accept and agree to the Terms and Conditions of the Premier Portfolio Management Service.
(Reference number: 015106/18022025)
- I accept and agree to the risk warnings and notifications contained in the Terms and Conditions and the nature of the underlying investments in which my Portfolio(s) will be invested in accordance with the discretionary model portfolio selected in this Application Form.
- I declare that the information provided on this form is, to the best of my knowledge and belief, accurate and complete.
- I agree to notify Premier Fund Managers Limited immediately in the event of changes to this information including changes in the tax residency.
- I agree to indemnify Premier Fund Managers Limited against all costs, losses, claims and expenses incurred in connection with the provision of the Premier Portfolio Management Service to me, except where these have been incurred due to Premier Miton's negligence, wilful default or fraud.
- I acknowledge that my failure to provide information requested may adversely affect Premier Fund Managers Limited's ability to provide services under this Agreement with you and the quality of services that Premier Fund Managers Limited may provide.

Declaration to be signed by all controlling persons.

1st Applicant/Controller:

Name: _____

Signature: _____ Date:

D	D	M	M	Y	Y	Y	Y
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2nd Applicant/Controller:

Name: _____

Signature: _____ Date:

D	D	M	M	Y	Y	Y	Y
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3rd Applicant/Controller:

Name: _____

Signature: _____ Date:

D	D	M	M	Y	Y	Y	Y
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4th Applicant/Controller:

Name: _____

Signature: _____ Date:

D	D	M	M	Y	Y	Y	Y
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How to contact us

If you have any questions about our use of your personal information, please contact us at investorservices@premiermiton.com or in writing to the Data Protection Officers at **Premier Fund Managers Limited at Eastgate Court, High Street, Guildford, Surrey, GU1 3DE**, or telephone **0333 456 1122**.

Application checklist

Checklist – before the application form is returned to Premier Fund Managers Limited, please refer to the checklist below:

- ☐ You accept the Premier Portfolio Management Service Terms and Conditions, including the terms of usage of the Online Valuation Service
- ☐ You have completed all mandatory fields. If not, this will prevent the account from being opened.
- ☐ You have fully completed and signed the Application Form. You can post the form to us or ask your financial adviser to e-mail it to us.
- ☐ You have enclosed a copy of the Customer Due Diligence documentation, as per the Premier Portfolio Management Service Anti-Money Laundering guide.
- ☐ You have enclosed a copy of the Self-certification of tax residency for entities form. These can be posted or emailed with your application form from your adviser's business email address.
- ☐ You have enclosed bank account verification documentation
- ☐ You have enclosed the relevant extracts as described in the Anti-Money Laundering Guide (non-personal)
- ☐ You will provide the relevant Anti-Money Laundering documentation for each named Controller, should we request this.

Please do not use staples

Contact Premier Miton

Premier Fund Managers Limited, Eastgate Court, High Street, Guildford, Surrey, GU1 3DE

0333 456 1122

investorservices@premiermiton.com

For your protection, calls may be monitored and recorded for training and quality assurance purposes.

issued by Premier Miton Investors. Premier Portfolio Managers Limited is registered in England no. 01235867. Premier Fund Managers Limited is registered in England no. 02274227. Both companies are authorised and regulated by the Financial Conduct Authority and are members of the 'Premier Miton Investors' marketing group and subsidiaries of Premier Miton Group plc (registered in England no. 06306664). Registered office: Eastgate Court, High Street, Guildford, Surrey GU1 3DE.

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