

Premier Portfolio Management Service

Trust application form

This application form should be used by Trusts who wish to open a Premier Portfolio Management Service account. Corporate entities (which are not trustees) are required to complete our corporate application form, which can be found on our website premiermiton.com.

This form should only be used for direct applications to invest in the Premier Portfolio Management Service. This form should not be used for investments into the Premier Miton funds, Premier Miton's Irish-domiciled funds or for investments into the Managed Portfolio Service.

Please complete this form in ink using BLOCK CAPITALS and return to: **Premier Fund Managers Limited, Eastgate Court, High Street, Guildford, GU1 3DE**. Alternatively your adviser can email your signed application form to investorservices@premiermiton.com. Any applications that are not completed correctly may incur delays or may have to be returned.

Premier Fund Managers Limited (registered no.02274227) is authorised and regulated by the Financial Conduct Authority.

Please do not make any payments to us on behalf of this Trust until you have received confirmation that the account is open. The confirmation letter will confirm the correct bank details and payment reference to use. We aim to process all Trust applications within three working days of receipt.

Important:

Please read the Premier Portfolio Management Service Investor Guide for details of our relevant discretionary portfolios. Copies are available on our website premiermiton.com, by calling **0333 456 1122** or emailing investorservices@premiermiton.com

All fields are mandatory unless stated otherwise

1. Trust details

Name: _____

Nature / Purpose of Trust (e.g. Bare, Life Interest etc.): _____

Trust name: _____

Date of Trust inception:

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Registered address (all communications will be sent to this address): _____

Town / City: _____ Post code: _____

Email (to be used for any queries or operational-based issues): _____

Contact name: _____

(Correspondence will be addressed to this person. If you would like to provide a different contact for operational issues please let us know)

Telephone number: _____

Account designation (if applicable). Each account must only have ONE designation: _____

Name of Trustees: _____

Name of settlor: _____

Name of protector (s) (if any): _____

Online Valuation Service

Confirm the e-mail you would like to use to access the online valuation service (if different to the e-mail above):

2. Details of Controlling Persons

'Controlling Persons' include all trustees, beneficiaries and settlors of the Trust. If there are more than four Controlling Persons please enclose additional pages with their details.

First Controlling Person

Title: _____

First name: _____

Middle name(s): _____

Surname: _____

Permanent residential address: _____

_____ Postcode: _____

Date of birth: _____

Country of Citizenship/Country of Residence/First Passport
(if different to place of birth): _____

Are you a UK National: Yes ☐ No ☐

Are you a resident in the UK for tax purposes:

Yes ☐ No ☐

National Insurance or other tax reference (compulsory for all applicants):

Email address: _____

Occupation: _____

Role (e.g. trustee/beneficiary/settlor): _____

Second Controlling Person

Title: _____

First name: _____

Middle name(s): _____

Surname: _____

Permanent residential address: _____

_____ Postcode: _____

Date of birth: _____

Country of Citizenship/Country of Residence/First Passport
(if different to place of birth): _____

Are you a UK National: Yes ☐ No ☐

Are you a resident in the UK for tax purposes:

Yes ☐ No ☐

National Insurance or other tax reference (compulsory for all applicants):

Email address: _____

Occupation: _____

Role (e.g. trustee/beneficiary/settlor): _____

Third Controlling Person

Title: _____

First name: _____

Middle name(s): _____

Surname: _____

Permanent residential address: _____

_____ Postcode: _____

Date of birth: _____

Country of Citizenship/Country of Residence/First Passport
(if different to place of birth): _____
_____Are you a UK National: Yes ☐ No ☐

Are you a resident in the UK for tax purposes:

Yes ☐ No ☐National Insurance or other tax reference (compulsory for all
applicants):

Email address: _____

Occupation: _____

Role (e.g. trustee/beneficiary/settlor): _____

Fourth Controlling Person

Title: _____

First name: _____

Middle name(s): _____

Surname: _____

Permanent residential address: _____

_____ Postcode: _____

Date of birth: _____

Country of Citizenship/Country of Residence/First Passport
(if different to place of birth): _____
_____Are you a UK National: Yes ☐ No ☐

Are you a resident in the UK for tax purposes:

Yes ☐ No ☐National Insurance or other tax reference (compulsory for all
applicants):

Email address: _____

Occupation: _____

Role (e.g. trustee/beneficiary/settlor): _____

3. Firm details (If trustee or settlor is a non-individual)**Please provide details on a separate page if there is more than one**

Name of registered company: _____

Registration number: _____

Place and country of registration: _____

Tax reference (compulsory for all applicants) /PSO/Registered Charity number/Company number Role (e.g. beneficiary/trustee/
settlor):

_____**4. Contribution details****4a. Contribution type**☐ Single payment☐ Regular payment☐ Transfer in

4b. Single payment only

If applicable, record details of your single contribution. Please confirm your discretionary model choice in the table in section 5

Payment method: ☐ Cheque ☐ Direct Credit

Investment value: £ _____

Source of funds for this investment: _____

4c. Regular payments only

If applicable, record details of your regular contribution. Please confirm your discretionary model choice in the table in section 5

Investment value: £ _____ ☐ Monthly ☐ Quarterly ☐ Half yearly ☐ Annually

Source of funds for this investment: _____

Payment reference should be the client account number (otherwise payments may be delayed or returned).

4d. Transfer-in from another provider

Provider name: _____ Account / plan number: _____

Net subscription this tax year: £ _____

Transfer of cash ☐ Cash ☐ Assets

Full or partial transfer? ☐ Full ☐ Partial

If this is a cash transfer, please confirm the current value: £ _____

For a cash transfer, please complete your discretionary model choice on the table in section 5.

5. Investment details

Please complete the table below with your investment option(s) and ensure you provide full name of the discretionary model:

Discretionary model portfolio name Example: Balanced portfolio	Single payment amount (£)	Regular savings amount (£)
	£	£

6. Payment instructions

Details of the relevant bank account and/or cheque payment to which payments should be made will be sent by letter to the address provided in Section 1 once the account has been opened. We aim to process all trust applications within 3 working days of receipt. Payment reference should be the client account number (otherwise payments may be delayed or returned).

7. Financial adviser details (to be completed by Financial Adviser)

I have verified the identity of the Applicant in accordance with the applicable Money Laundering Regulations and the necessary documentary evidence has been obtained and identity checks have been undertaken to confirm that the Controlling Person(s)' details as shown in this application are correct.

I have read the Terms of Business for authorised intermediaries (available on the Premier Miton website)

(Reference 10457/310723) Yes ☐ No ☐

Financial adviser signature: _____

Name: _____

Position: _____ Date:

Full name of regulated firm: _____

Address: _____

Postcode: _____

Email: _____

FCA reference number: _____ Telephone number: _____

8. Payments to the financial adviser (If applicable)

Financial adviser initial payment _____ % or £ _____ Tick if subject to VAT: Yes ☐ No ☐
(for single/cash transfer payments)

Financial adviser ongoing payment _____ Tick if subject to VAT: Yes ☐ No ☐

Nil ☐ 0.25% pa ☐ 0.5% pa ☐ 0.75%pa ☐ 1.0%pa ☐

If yes has been selected, VAT will be added to the fee. Once the application has been processed, we will be unable to alter the VAT status indicated above.

Please note:

By completing the above you instruct us to deduct any initial payment from your investment with the balance invested into your discretionary model, and all other ongoing payments directly from your model. We are not responsible for accounting for any VAT on these payments.

9. Income and regular withdrawal options

Your portfolio may generate income from time to time. Please indicate in section (a) how you wish any such income to be dealt with. You may also wish to make a regular withdrawal from your portfolio. If so, select the relevant option in section (b). In each case, payments will be made on or around the 20th of the month, 20th of January, April, July, October if quarterly.

(a) Income

Please indicate how you wish us to treat any natural income generated from your portfolio.

Income reinvested ☐ Monthly ☐ Quarterly

Income distributed ☐ Monthly ☐ Quarterly

Please note: If you are invested in a growth portfolio, income may not be generated. Minimum payouts £125 per month or £375 per quarter.

(b) Fixed regular withdrawal

If you wish to receive a fixed regular withdrawal from your model portfolio, please indicate the amount required below and whether this is to be paid to you monthly or quarterly.

I wish to receive a fixed withdrawal of £ _____ Monthly ☐ Quarterly ☐ Minimum £125 per month and £375 per quarter

Commencing

Please note: fixed regular withdrawals are generated by selling shares in funds held within the Model portfolio(s). Taking regular withdrawals can increase the risk of erosion of your investment if the amount you receive exceeds the natural income or growth generated by your holdings.

10. Bank/Building Society details for payments to you

Please complete the bank account details below for the Trust in order that we can make any income payments or redemption payments to the Trust's bank or building society account. Please note that if we do not receive these bank account details the application may be rejected.

Please inform us in writing, if you change your bank account, as you will need to provide new evidence so we can verify the account.

Bank/Building Society: _____

Address: _____

_____ Postcode: _____

Name of account to be credited: _____

Sort code: - - Account number:

Building Society reference or roll number:

For the prevention of fraud and for anti-money laundering purposes, we need to verify the details provided.

Please provide one of the following pieces of information:

- An original or copy paying in slip (usually found at the back of your cheque book)
- Either copy or original of a bank statement, not older than six months.
- Internet based bank statements are not acceptable

Anti-Money Laundering/ Customer Due Diligence

Under UK Anti-Money Laundering legislation and related regulations, Premier Fund Managers Limited is required to verify the identity of its clients and the identity of all current trustees, settlors and beneficial owners of a Trust. Also, the Trust may be required to provide certain documentation to Premier Fund Managers Limited in order for us to comply with those verification obligations. Premier Fund Managers Limited will request this documentation if the client fails any electronic checks.

Please provide anti-money laundering documents for all current trustees, settlors and beneficiaries of the Trust, in accordance with our anti-money laundering requirements as set out in the Premier Portfolio Management Service Anti-Money Laundering Guide which is published on the website.

In accordance with the 5th AMLD requirements for Trusts to be registered with the Trust Registration Service (TRS), please provide an excerpt from the register as part of your application or other proof of registration on the TRS.

Source of Funds (if different to the bank details in section 10): _____

Source of Wealth: _____

Tax residency self-certification

Tax regulations require us to collect certain information about each investor's tax residency and tax classifications. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information about your account with HMRC. Please complete all relevant sections as directed. If you have any questions about the information requested please consult your tax adviser.

Please complete a Self-certification for Trusts form, available on our website premiermiton.com.

Declaration

- I confirm that I accept and agree to the Terms and Conditions of the Premier Portfolio Management Service.
(Reference number: 015106/18022025)
- I accept and agree to the risk warnings and notifications contained in the Terms and Conditions and the nature of the underlying investments in which my Portfolio will be invested in accordance with the Investment Strategy indicated in this Application Form.
- I declare that the information provided on this form is, to the best of my knowledge and belief, accurate and complete.
- I agree to notify Premier Fund Managers Limited immediately in the event of changes to this information including changes in the tax residency.
- I agree to indemnify Premier Fund Managers Limited against all costs, losses, claims and expenses incurred in connection with the provision of the Premier Portfolio Management Service to me, except where these have been incurred due to Premier Fund Managers Limited's negligence, wilful default or fraud.
- Where I am a trustee, my liability is limited, in the absence of fraud, to the assets of the Trust from time to time. I confirm that the information provided to Premier Fund Managers Limited or any competent authority in relation to my status, residence and domicile for taxation and money laundering purposes is complete and correct and I agree to promptly provide any further information properly required by any competent authority or by Premier Fund Managers Limited in order to comply with its regulatory and contractual obligations and to notify Premier Fund Managers Limited promptly if there is any material change in the information provided.
- I acknowledge that my failure to provide information requested by Premier Fund Managers Limited may adversely affect Premier Fund Managers Limited's ability to provide services under the Agreement and the quality of services that Premier Fund Managers Limited may provide.

Declaration to be signed by all controlling persons

1st Applicant/Trustee

Name: _____

Signature: _____ Date:

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

2nd Applicant/Trustee

Name: _____

Signature: _____ Date:

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

3rd Applicant/Trustee

Name: _____

Signature: _____ Date:

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

4th Applicant/Trustee

Name: _____

Signature: _____ Date:

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Application checklist

Checklist – before you return your application to your financial adviser, please refer to the checklist below:

- ☐ You accept the Premier Portfolio Management Service Terms and Conditions, including the terms of usage of the Online Valuation Service.
- ☐ You have completed all mandatory fields. If not, this will prevent the account from being opened.
- ☐ You have fully completed and signed the Application Form. You can post the form to us or ask your adviser to e-mail it to us.
- ☐ You have enclosed a copy of the Trust Deed and Tax Residency self-certification form for the Trust. These can be posted or emailed with your Trust application form from your adviser's business email address.
- ☐ You have enclosed the relevant Anti-Money Laundering documentation for each named controller, individual and/or Trustee on the signatory list, should we request this after an individual fails our electronic checks.
- ☐ You have enclosed bank account verification documentation
- ☐ You have enclosed an excerpt from the Trust Register

Please do not use staples

Contact us

Tel: 0333 456 1122

Email: investorservices@premiermiton.com

For your protection, calls may be monitored and recorded for training and quality assurance purposes.