

Premier Miton Funds

Transfer from Premier Miton fund to an ISA offered by Premier Portfolio Managers Limited

This transfer form is for existing clients in a fund with a Premier Miton prefix ("Premier Miton fund") e.g. Premier Miton Income Fund, who wish to transfer part, or all, of their current holding in a Premier Miton fund into an ISA with Premier Portfolio Managers Limited (which is a subsidiary of Premier Miton Group plc).

Please complete this form in BLOCK CAPITALS, using BLUE or BLACK INK and return it to your financial adviser, or to:
Premier Portfolio Managers Limited, Sunderland, SR43 4AW

Important

- Please read the **Key Investor Information Document** and the **Supplementary Information Document** for the relevant share class of the fund(s) you wish to invest in or transfer into. Copies are available on our website premiermiton.com, by calling **0333 456 6363** or emailing premiermiton_enquiry@ntrs.com
- This form should only be used for direct applications to invest in Premier Miton funds. This form **should not be used for** investments into Premier Miton's Irish-domiciled funds or for investments into the Premier Portfolio Management Service or Managed Portfolio Service.
- **Premier Miton funds are not available for investment by EU resident retail clients or US Persons (as defined in the Prospectus.)**

A separate application form must be completed for each ISA applicant. Joint applications are not permitted for ISAs.

If you are unsure which form to use, please, get in contact with us. Call **0333 456 6363** or email premiermiton_enquiry@ntrs.com.

Please ensure that all relevant sections are completed. Please complete in full; section 1 'Personal details' and section 5 'Bank details' - if this information is not provided, your application could be delayed or rejected.

Applications made using an incorrect form or sent to the incorrect address may be rejected and will delay your application.

Useful notes

- The maximum amount you can invest into an ISA for the 2025/2026 tax year is £20,000.
- You can make a partial or full transfer from your Premier Miton fund into a fund in the Premier Miton ISA, subject to the maximum investment.
- If you choose a partial transfer, a minimum of £500 must remain in your existing Premier Miton fund, otherwise this instruction may be rejected.
- There is a minimum transfer amount of £500.
- Please contact us if any other information contained in your original application has changed (e.g. address or bank details).

Section 1. Your details

Existing Premier Miton account number:

Title: _____ First name: _____ Middle name(s): _____

Surname (family name): _____

Permanent residential address: _____

Postcode: _____

Telephone: _____ Mobile: _____

Email: _____

National Insurance Number:

If you do not have a National Insurance Number, please tick this box: ☐

Date of Birth:

(You should be able to find your National Insurance Number on a payslip, form P45 or P60, a letter from HM Revenue & Customs, a letter from the DWP or a pension order book)

Section 2. Investment advice

Please indicate whether you have received advice from a financial adviser on this investment and provide their details.

Premier Portfolio Managers Limited will not pay commission to any financial adviser or facilitate adviser charging in respect of this investment.

☐ I have received advice from the following financial adviser

To be completed by your financial adviser.

Company: _____

Financial adviser stamp

Address: _____

FCA number: _____

☐ I have not received advice from a financial adviser

Section 3. Transfer instructions

Please choose which part of your existing Premier Miton fund holdings you want to transfer into a Premier Miton ISA for the

20 /20 tax year, and insert the amounts in the appropriate boxes in **Table 1**.

Maximum investment:

£20,000 for 2025/2026 tax year

How to complete table 1:

Column 1 – clearly write the full name of the fund(s) and/or share class you want to switch out of.

Column 2 – insert either the monetary amount or percentage that you wish to switch from the fund(s) and/or share class listed in column 1.

Column 3 – clearly write the full name of the fund(s) and/or share class that you wish to switch into and specify the share type (income or accumulation).

Column 4 – insert either the monetary amount or percentage that you wish to be switched into the fund(s) and/or share class listed in column 3.

An example of how to complete the table is provided below

Column 1	Column 2	Column 3	Column 4
Fund/s share class that you are transferring from (selling)	Amount £/%	Fund/s share class that you are transferring to (buying)	Amount £/%
PREMIER MITON ABC FUND – INCOME	£5,000	PREMIER MITON XYZ FUND – INCOME	£2,500
		PREMIER MITON GHI FUND – ACCUMULATION	£2,500

Please complete the table below with your transfer instructions:

Table 1

Column 1	Column 2	Column 3	Column 4
Fund/s share class that you are transferring from (selling)	Amount £/%	Fund/s share class that you are transferring to (buying)	Amount £/%

Section 4. Income payments (does not apply to accumulation shares)

Please choose how you would like to receive any income generated from your investment held in income shares.

If you do not tick either of these boxes, your income will automatically be reinvested.

Income sent direct to your bank/building society	Please ensure that you complete your bank details in section 5
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Income reinvested to purchase more shares

Section 5. Bank/building society details for payments to you

Please complete your account details below in order that we can make any income payments or redemption payments to your bank or building society. In order to verify the account, we require one of the following: an original voided cheque; an original paying in slip; a bank statement not older than six months, either an original or a copy certified by an appropriate person (solicitor, accountant or your bank/building society manager). Bank statements printed from the internet are not acceptable.

Cheque payments may be phased out in the future, therefore please provide the bank details to make sure there are no delays to issuing your proceeds or income.

Please inform us if you change your bank account, as you will need to provide new evidence so we can verify the account. Payments cannot be made electronically without this verification.

Bank/Building Society: _____

Address: _____

Postcode: _____

Name of account to be credited: _____

Sort code: Bank/Building Society account number:

Building Society reference or roll number:

This application form, along with the ISA Terms and Conditions, the relevant Prospectus, Key Investor Information Document and Supplementary Information Document each as amended from time to time, forms the agreement upon which Premier Portfolio Managers Limited intends to rely and will govern all sums now and in future invested unless the parties agree otherwise in writing. The current version of each document will be available on our website premiermiton.com. For your own benefit and protection, you should read these terms carefully before signing them. If you do not understand any point, please ask for further information.

Section 6. The Declaration and Authority

I apply to subscribe to the Premier Miton Stocks and Shares ISA.

I authorise Premier Portfolio Managers Limited to make any income payments as instructed in section 4, and/or redemption payments to the bank or building society shown in section 5. Premier Portfolio Managers Limited does not accept any responsibility for the quotation of building society account numbers and the quotation of any such number(s) is entirely at the risk of the investor.

I understand that Premier Portfolio Managers Limited is utilising the delivery versus payment exemption for the purpose of settling a transaction in relation to units in a regulated collective investment scheme and therefore my money may not be treated as client money for the period up to the close of business on the business day following the date of receipt of the money.

I declare that:

- I am 18 years of age or over.
- I am resident in the United Kingdom for tax purposes, or if not so resident either perform duties which, by virtue of Section 28 of the Income Tax (Earnings and Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or am married to, or in a civil partnership with a person who performs such duties. I will inform Premier Portfolio Managers Limited if I cease to be so resident, or to perform such duties, or be married to, or in a civil partnership with a person who performs such duties.
- All subscriptions made, and to be made, to the ISA belong to me and I am the beneficial owner of the funds being used for this ISA.
- I agree to be bound by the Terms and Conditions applicable to the ISA and give the warranties contained therein.
- The information given in this Application Form/Transfer Form is true and correct to the best of my knowledge and belief. I will notify Premier Portfolio Managers Limited without delay of any change in the information given.
- I understand that the transfer of my ISA may be delayed if this Transfer Form is not complete in all respects.

For a new ISA investment:

- I have not subscribed, and will not subscribe, to more than the overall ISA subscription limit total in the same tax year.

I authorise Premier Portfolio Managers Limited:

- To receive and hold my cash subscriptions, ISA investments, interest, dividends and any other rights, or proceeds in respect of those investments and any other cash in the ISA.
- On my written request to transfer or pay to me, as the case may be, account investments, dividends, rights or other proceeds in respect of such investments, or any cash, held in the account.
- To make on my behalf any claims to relief from tax in respect of ISA investments.

On signing this declaration, I confirm that I have read the latest Key Investor Information Document and Supplementary Information Document for the relevant share class of the fund/s I apply to invest into, and the attached ISA Terms and Conditions and agree to be bound by them.

Applicant Signature: _____**Date:**

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Note: We may accept applications from someone holding a Lasting Power of Attorney (LPA). The applicant should be registered with the Office of the Public Guardian. We can accept an original or certified copy of the LPA or you may provide us with the code to access the LPA online. Once registered, the LPA is effective whether or not the donor of the power retains mental capacity.

Data Protection Notice

This notice sets out how Premier Portfolio Managers Limited ("PPM", "we" or "us") will process personal information (as a Data Controller)

Where your details are provided to PPM as a consequence of your investment in the Premier Miton funds (the "funds"), then PPM, acting as a data controller may itself (or through a third party such as Northern Trust Global Services SE (the "**Administrator**") acting in its capacity as the funds' administrator) process your personal information or that of your directors, officers, employees and/or beneficial owners. When processing your personal information, there may also be times where the Administrator will act as a data controller. In connection with this, please note the following:

Purposes of Processing and Legal basis for processing

Your personal data may be processed on behalf of the funds by PPM or the Administrator (or any of their affiliates, agents, employees, delegates or sub-contractors) for the following purposes:

- to facilitate the opening of your account with PPM, the management and administration of your holdings in the funds and any related account on an on-going basis (the "**Services**") which are necessary for the performance of your contract, including without limitation the processing of redemption, conversion, transfer and additional subscription requests and the payment of distributions;
- in order to carry out anti-money laundering checks and related actions which PPM considers appropriate to meet any legal obligations imposed on PPM or the funds relating to, or the processing in the public interest or to pursue PPM or the funds' legitimate interests in relation to, the prevention of fraud, money laundering, terrorist financing, bribery, corruption, tax evasion and to prevent the provision of financial and other services to persons who may be subject to economic or trade sanctions, on an on-going basis, in accordance with PPM's and the Administrator's anti-money laundering procedures;
- to report tax related information to tax authorities in order to comply with a legal obligation;
- to monitor and record calls and electronic communications for (i) processing and verification of instructions, (ii) investigation and fraud prevention purposes, (iii) for crime detection, prevention, investigation and prosecution, (iv) to enforce or defend PPM or the funds and its affiliates', itself or through third parties to whom it delegates such responsibilities or rights in order to comply with any legal obligation imposed on PPM or the funds, (v) to pursue PPM's or the funds' legitimate interests in relation to such matters or (vi) where the processing is in the public interest;
- to disclose information to other third parties such as service providers of PPM or the funds, auditors, regulatory authorities and technology providers in order to comply with any legal obligation imposed on PPM or the funds or in order to pursue the legitimate interests of PPM or the funds;
- to monitor and record calls for quality, business analysis, training and related purposes in order to pursue the legitimate interests of PPM or the funds to improve service delivery;
- to update and maintain records and fee calculation;
- to retain AML and other records of individuals to assist with the subsequent screening of them by the Administrator including in relation to other funds or clients of the Administrator in pursuance of the Administrator's and its clients' legitimate interests;

and which are necessary to comply with the funds', PPM's or the Administrator's legal obligations and/or which are necessary for the funds', PPM's or the Administrator's legitimate interests indicated above and/or the processing is in the public interest.

Recipients of Data and International Transfer of Data

We may disclose your personal information as follows:

- to the funds' service providers, including the Administrator, and their affiliates, and other third party service providers engaged in order to process the data for the above mentioned purposes; and
- to competent authorities (including tax authorities), courts and bodies as required by law or requested or to affiliates for internal investigations and reporting;

The disclosure of personal information to the third parties set out above may involve the transfer of data to the USA and other jurisdictions outside the UK and European Economic Area (EEA) in accordance with the requirements of locally applicable data protection laws. Such countries may not have the same data protection laws as your jurisdiction and may be considered less protective. Where we are required to do so under applicable data protection laws, we take appropriate steps to implement appropriate technical and organisational safeguards, such as the European Commission's Standard Contractual Clauses, or their equivalent applicable in the UK, to ensure your data continues to be adequately protected.

Retention period

We and the Administrator will retain your personal information for as long as required for the funds, us or the Administrator to perform the Services or perform investigations in relation to same depending on whether additional legal/regulatory obligations mandate the retention of your personal information.

Data Subject Rights

You have the following rights, in certain circumstances, in relation to your personal information:

- Right to access your personal information.
- Right to rectify your personal information.
- Right to restrict the use of your personal information (in certain specific circumstances).
- Right to request that your personal information is erased (in certain specific circumstances).
- Right to object to processing of your personal information (in certain specific circumstances).
- Right to data portability (in certain specific circumstances).

Where we or the Administrator require your personal information to comply with AML or other legal requirements, failure to provide this information means we may not be able to accept you as an investor in the funds.

You have the right to lodge a complaint with a supervisory authority in the EU Member State of your habitual residence or place of work or in the place of the alleged infringement if you consider that the processing of personal data relating to you carried out by us or the Administrators or our service providers infringes the General Data Protection Regulation. In the UK this would be the Information Commissioner's Office.

The Administrator or the Depositary as a Data Controller

Northern Trust group's EMEA Data Privacy Notice sets out how Northern Trust Global Services SE (the "Administrator") or Northern Trust Investor Services Limited (the "Depositary") will process your personal information where they are acting as a data controller or a joint data controller. The EMEA Data Privacy Notice may be updated from time to time and is available at: www.northerntrust.com/united-kingdom/privacy/emea-privacy-notice.

Use of personal information

If you have any questions about our use of your personal information, please contact us at investorservices@premiermiton.com or in writing to the Data Protection Officer at Premier Portfolio Managers Limited at Eastgate Court, High Street, Guildford, Surrey, GU1 3DE.

For your protection, calls may be monitored and recorded for training and quality assurance purposes.

Account enquiries

For any correspondence or instructions in relation to your account, please write to us at Premier Portfolio Managers Limited, Sunderland SR43 4AW.